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PATENT

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: William J. Armstrong et al. : Date: July 8, 2002  
Group Art Unit: 2182 : IBM Corporation  
Examiner: Unassigned : Intellectual Property Law  
Serial No.: 09/838,057 : Dept. 917, Bldg. 006-1  
Filed: April 19, 2001 : 3605 Highway 52 North  
Title: **METHOD AND APPARATUS FOR  
ALLOCATING PROCESSOR  
RESOURCES IN A LOGICALLY  
PARTITIONED COMPUTER  
SYSTEM** : Rochester, MN 55901

Assistant Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

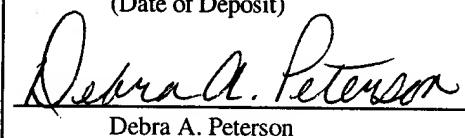
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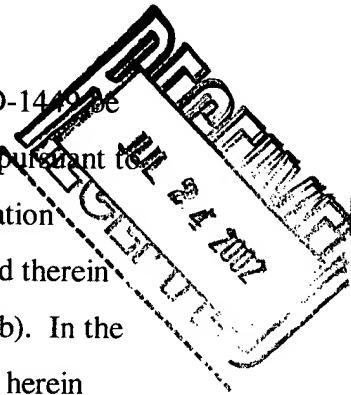
I hereby certify that this correspondence is  
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(Date of Deposit)

  
Debra A. Peterson

INFORMATION DISCLOSURE STATEMENT

Applicants request that the information listed on the attached Form PTO-144 be  
considered by the Office during the pendency of the above entitled application, pursuant to  
37 C.F.R. 1.97. In accordance with 37 C.F.R. 1.97(h), the filing of this Information  
Disclosure Statement shall not constitute an admission that any information cited therein  
is, or is considered to be, material to patentability as defined in 37 C.F.R. 1.56(b). In the  
interest of full and complete disclosure to the Office, some or all of the art cited herein  
may not be considered by Applicant(s) or the Undersigned to be material under the new  
standard of materiality defined in 37 C.F.R. 1.56(b), enacted March 16, 1992, but may be  
material under the old standard of materiality defined in 37 C.F.R. 1.56(a), last amended  
on November 28, 1988, or may merely be technical background which may be of interest



Serial No. 09/838,057

Docket No. ROC920000252US1

PATENT

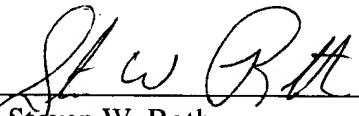
to the Examiner. In accordance with 37 C.F.R. 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made.

The references listed herein were cited in a search report by a foreign patent office, a copy of which is attached hereto. If any references listed herein are in a language other than English, said foreign search report is submitted in satisfaction of the requirements of 37 C.F.R. 1.98(a)(3). Unless a translation accompanies this Statement, no written English-language translation of any non-English language document, or portion thereof, listed herein, is within the possession custody or control of, or is readily available to any individual designated in 37 C.F.R. 1.56(c).

This Information Disclosure Statement is being filed under 37 C.F.R. 1.97(c) before a final action or notice of allowance. No filing fee is required because each item of information contained herein was cited for the first time in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement (certification provided below).

Respectfully submitted,

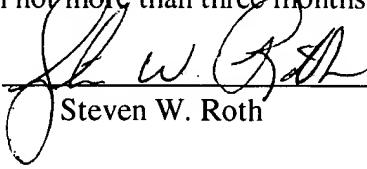
By

  
Steven W. Roth  
Attorney Reg. No. 34,712  
Telephone: 1-507-253-1600  
Fax: 1-507-253-2382

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CERTIFICATION

I hereby certify that each item of information contained herein was cited for the first time in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement.

  
Steven W. Roth

July 8, 2002  
Date



## **INFORMATION DISCLOSURE STATEMENT BY APPLICANT**

Sheet 1 of 1

Application Number.: 09/838,057  
Filing Date: April 19, 2001  
First Named Inventor: Armstrong et al.  
Art Unit: 2182  
Examiner Name: Unassigned  
Attorney Docket Number.: ROC920000252US1

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## U.S. PATENT DOCUMENTS

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## FOREIGN PATENT DOCUMENTS

Examiner Signature		Date Considered	
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kind Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST 2).

<sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the year of the patent grant.

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